

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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### Inglee, William B.

Senior Advisor to the Secretary, Secretariat (S), Department of State

Date of Termination: 06/05/2017

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Other Federal Government Positions Held During the Preceding 12 Months: None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

eSigned in FDM by:

William B. Inglee

User ID: [REDACTED]

06/01/2017

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Agency Ethics Official's Opinion - On the basis of information contained in this report. I conclude that the filer is in compliance with applicable laws and regulations(Subject to any comments below).

eSigned in FDM by:

Joshua A. Kretman

User ID: [REDACTED]

06/02/2017

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Other review conducted by

Reviewer:

eSigned in FDM by:

Joshua A. Kretman

User ID: [REDACTED]

06/02/2017

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U.S. Office of Government Ethics Certification

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## 1. Filer Positions Held outside United States Government

#	NAME	CITY, STATE	OGE TYPE	POSITION	FROM	TO
1	ISM Strategies LLC	Mt. Vernon, VA, USA	Business Enterprise	General Partner	06/2013	Present

## 2. Filer's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Ameriprise Insured Money Market #1	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	Fidelity Advisor Total Bond	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
3	Locorr Managed Futures Strategy	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	Locorr Long Short Equity	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Catalyst Hedged Futures Strategy	N/A	\$15,001 - \$50,000		None (or less than \$201)
6	T Rowe Price Health Sciences	N/A	\$100,001 - \$250,000		None (or less than \$201)
7	Vanguard Energy Index Admiral	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8	Vanguard Emerging Markets Stock Index Admiral	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9	SEP IRA Ameriprise Insured Money Market #2	N/A	None (or less than \$1,001)		None (or less than \$201)
10	SEP IRA John Hancock Bond	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11	SEP IRA Clearbridge Tactical Dividend	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
12	SEP IRA Eventide Gilead	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	SEP IRA Putnam Equity Income	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	IRA Ameriprise Insured Money Market #4	N/A	\$1,001 - \$15,000		None (or less than \$201)
15	IRA Fidelity Advisor Total Bond	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16	IRA Franklin Strategic Income	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
17	IRA John Hancock Focused High Yield	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18	IRA John Hancock Alternative Asset Alloc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19	IRA Lord Abbet Bond Debenture	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20	IRA Eventide Gilead	N/A	\$15,001 - \$50,000		None (or less than \$201)
21	IRA Oppenheimer Cap Income	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
22	IRA Oppenheimer Intl Bond	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23	IRA PIMCO Income	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
24	IRA Putnam Capital Spectrum	N/A	\$15,001 - \$50,000		None (or less than \$201)
25	IRA Putnam Absolute Return 500	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
26	IRA Wells Fargo Absolute Return	N/A	\$15,001 - \$50,000		None (or less than \$201)
27	Lockheed Martin 401(K)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
27.1	LM 401(K) Stable Value Fund	N/A	\$250,001 - \$500,000		None (or less than \$201)
27.2	LM 401(K) US Equity Fund	N/A	\$250,001 - \$500,000		None (or less than \$201)
28	Inglee Sauer Moseley (401K)	N/A	\$15,001 - \$50,000		None (or less than \$201)
28.1	401(K) MM S&P 500 Index R3	N/A	\$15,001 - \$50,000		None (or less than \$201)

29	E-Trade Financial Stock	N/A	\$15,001 - \$50,000	None (or less than \$201)
30	Ford Motor Co Stock	N/A	\$1,001 - \$15,000	None (or less than \$201)
31	Bank of America Corp stock	N/A	\$1,001 - \$15,000	None (or less than \$201)
32	Virginia Residential Property	N/A	\$500,001 - \$1,000,000	None (or less than \$201)
33	Florida second home property	N/A	\$500,001 - \$1,000,000	None (or less than \$201)
34	Maine vacation property	N/A	\$250,001 - \$500,000	None (or less than \$201)
35	Wright Patman Federal Credit Union	N/A	\$50,001 - \$100,000	None (or less than \$201)
36	Unimproved woodland property, Owls Head, NY	N/A	\$15,001 - \$50,000	None (or less than \$201)
37	Lockheed Martin Pension	N/A		Retirement Plan or Account
				\$21224

### 3. Filer Employment Agreements and Arrangements

#	EMPLOYER OR OTHER PARTY TO AGREEMENT/ARRANGEMENT	CITY/STATE	STATUS AND TERMS	DATE
1	ISM Strategies, LLC	Mt Vernon, VA, USA	Leave of absence during government service: On leave 06/2013 from ISM Strategies LLC. I am co-owner w two other senior partners. Non-lobbying consulting firm	
2	Lockheed Martin	Bethesda, MD, USA	Continuing participation in Employee Benefit Plan: 401(K) & Pension	06/2001

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

This report has no reported Compensation Exceeding \$5,000

### 5. Spouse's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA Ameriprise Insured Money Market #3	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	IRA CNL Healthcare Properties	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	IRA Industrial Property Trust CL	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	IRA Real Estate Investment Trusts	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	IRA Corporate Capital Trust INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
6	IRA FS Investment Corp III	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	IRA Ameriprise Insured Money Market #5	N/A	None (or less than \$1,001)		None (or less than \$201)
8	IRA American Beacon Bridgeway Large Cap Value	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

9	IRA American Beacon Holland Large Cap Growth	N/A	\$15,001 - \$50,000		None (or less than \$201)
10	IRA American Century Diversified Bond	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11	IRA Calvert Global Water	N/A	\$1,001 - \$15,000		None (or less than \$201)
12	IRA Deutsche Global Infrastructure	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	IRA Federated Kaufmann Large Cap	N/A	\$15,001 - \$50,000		None (or less than \$201)
14	IRA Fidelity Advisor Strategic Income	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
15	IRA Goldman Sachs Rising Dividend Growth	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16	IRA Goldman Sachs Long Short Term Credit Strategies	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
17	IRA Legg Mason BW Global Opptys Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
18	IRA MFS Intl Value	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19	IRA Mainstay Unconstrained Bond	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20	IRA Nuveen NWQ Small Cap Value	N/A	\$1,001 - \$15,000		None (or less than \$201)
21	IRA Prudential Jennison Equity Oppty	N/A	\$15,001 - \$50,000		None (or less than \$201)
22	IRA Oppenheimer Steelpath MLP Alpha	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23	IRA Wells Fargo Absolute Return	N/A	\$1,001 - \$15,000		None (or less than \$201)
24	Apple Federal Credit Union	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
25	Educational Employees Supplemental Retirement	N/A		Retirement Plan or Account	
26	Virginia Retirement System	N/A		Retirement Plan or Account	

## 6. Other Assets and Income

This report has no reported Assets

## 7. Transactions

This report has no reported Transactions

## 8. Liabilities

#	CREDITOR NAME	TYPE OF LIABILITY	AMOUNT	YEAR INCURRED	INTEREST RATE	TERM
1	SouthWest VISA Chase Credit Card	Credit Card/Revolving Charge Account	\$10,001 - \$15,000	2016	12.4%	revolving
2	Toyota Financial	Loan	\$10,001 - \$15,000	2015	0.0%	3 Yrs

## 9. Gifts and Travel Reimbursements

This report has no reported Gifts and Reimbursements

# Summary of Contents

## 1. Filer's Positions Held Outside the United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation. This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets and Income

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period. The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

## 5. Spouse's Employment Assets and Income

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

## **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children. This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period. This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## **8. Liabilities**

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period. This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## **9. Gifts and Travel Reimbursements**

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records

Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## **Public Burden Information**

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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